COUNTRY

Signature

Name (Print/Type)

USA

Michael J. Blankstein

11-26-01

PTO/SB/05 (08-00) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box → Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Attorney Docket No. 47079-0119 UTILITY First Inventor David K. Locke PATENT APPLICATION SLOT MACHINE WITH UNIFIED REEL SYMBOLS Title TRANSMITTAL EK153520765US filed November 6, Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1 53(b)) **Assistant Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 CD-ROM or CD-R in duplicate, large table or 1. Fee Transmittal Form (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CRF 1.27. (if applicable, all necessary) Computer Readable Form (CRF) |Total Pages 131 3. Specification (preferred arrangement set forth below) Specification Sequency Listing on: CD ROM or CD-R (2 copies); or paper - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D Invention Statement verifying identity of above copies - Reference to Microfiche Appendix **Accompanying Application Parts** - Background of the Invention - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 CFR 3.73(b) Statement Power of Attorney - Detailed Description (when there is an assignee) - Claim(s) 11. English Translation Document (if applicable) - Abstract of the Disclosure 12. 🔯 Information Disclosure 4. Drawing(s) (35 USC 113) [Total Sheets 8] Statement (IDS)/PTO-1449 Citations Preliminary Amendment 5. A Oath or Declaration 13. [Total Pages 3] Return Receipt Postcard (MPEP 503) 14. 🛛 a. Newly executed (original or copy) (Should be specifically itemized) Copy from a prior application (37 CFR 1.63(d)) Certified Copy of Priority Document(s) 15. (for continuation/divisional with Box 17 completed) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 **DELETION OF INVENTOR(S)** (b)(2)(B)(i). Applicant must attach form PTO/SB/35 Signed statement attached deleting or its equivalent. inventor(s) named in the prior application, 17. Other \_\_\_\_\_ see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1 76: Continuation-in-part (CIP) of prior application No: \_\_\_/\_\_\_, filed ☐ Continuation ☐ Divisional Prior application Information: Examiner Group/Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box Sb, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted appplication parts. 18. CORRESPONDENCE ADDRESS (Insert Customer No. or Attach bar code label here) Customer Number or Bar Code Label or New correspondence address below NAME Michael J. Blankstein **ADDRESS** WMS Gaming Inc. 800 South Northpoint Boulevard CITY STATE ZIP CODE 60085 Waukegan (773) 961-1237

Date November 6 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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37,097

TELEPHONE

Typed or Printed Name

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Michael J. Blankstein

PTO/SB/17 (11-00)

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision

	Complete if Known					
	Application Number					
	Filing Date	November 6, 2001				
	First Named Inventor	David K. Locke				
	Examiner Name					
	Group Art Unit					
_	Attorney Docket Number	47070 0110				

TOTAL AMOUNT OF PAYMENT (\$) 1,094	Atto	rney D	ocket	Numb	er 47079-0119					
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)								
1. The Commissioner is hereby authorized to charge indicated	3	. ADDI	TIONA	L FEI	ES					
fees and credit any over payments to:	Large		Small		у					
Deposit Account Number 232409/(0119)	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee				
Deposit Account Name WMS Gaming Inc.	Paid	.,,			·	166				
Charge Any Additional Fee Required	105 127		205 227		Surcharge - late filing fee or oath Surcharge - late provisional filing					
Under 37 CFR 1.16 and 1.17	'2'	50	241	20	fee or cover sheet.					
Applicant claims small entity status.	139	130	139	130	Non-English specification					
See 37 CFR 1 27	_ 147	2,520	147	2,520	For filing a request for ex parte					
2. Payment Enclosed:	112	920*	112	ດວດໍ	reexamination Requesting publication of SIR prior to					
☐ Check ☐ Credit card ☐ Money Order ☐ Othe	r   ''²	920	112	820	Examiner action					
	113	1,840*	113	1,840	Requesting publication of SIR after Examiner action					
FEE CALCULATION	115	110	215	55	Extension for reply within first month					
1. BASIC FILING FEE	_ <sub>116</sub>	400	216	200	Extension for reply within second month					
	117	920	217	460	Extension for reply within third month					
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	118	1,440	218	720	Extension for reply within fourth month					
Code (\$) Code (\$)	128	1,960	228	980	Extension for reply within fifth month					
101 740 201 370 Utility filing fee 740	119		219		Notice of Appeal					
106 330 206 165 Design filing fee	120		220		Filing a brief in support of an appeal					
107 510 207 255 Plant filing fee	121		221		Request for oral hearing					
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding					
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable					
		1,280	241		Petition to revive - unintentional					
SUBTOTAL (1) (\$) <u>740</u>	142	1,280	242	640	Utility issue fee (or reissue)					
	<b> 1</b> 43	460	243	230	Design issue fee					
2. EXTRA CLAIM FEES	144	620	244	310	Plant issue fee					
Fee from Fee Pa Extra below	d 122		122	130	Petitions to the Commissioner					
Total Claims 33 -20 = 13 X 18 = 234	123		123		Processing fee under 37 CFR 1.17(q)					
Independent 4 - 3 = 1 X 80 = 80	126	180	126	180	Submission of Information Disclosure Statement					
Claims	581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>40</u>				
Multiple Dependent Claims X = = Large Entity Small Entity	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))					
Fee Fee Fee Fee Description Code (\$) Code (\$)	149	740	249	370	For each additional invention to be					
103 18 203 9 Claims in excess of 20	179	740	279	370	examined (37 CFR 1.129(b)) Request for Continued Examination					
102 84 202 42 Independent claims in excess of 3		140	210	0.0	(RCE)					
104 280 204 140 Multiple dependent claim, if not page	id 169	900	169	900	Request for expedited examination of					
109 84 209 42 ** Reissue independent claims over original patent	Oth	ier fee (sp	ecify)		a design application					
110 18 210 9 ** Reissue claims in excess of					SUBTOTAL (3)	(\$) <u>40</u>				
of 20 and over original patent	) * F	Reduced	d by Ba	asic F	iling Fee Paid					
SUBTOTAL (2) (\$) 314			-							
** or number previously paid, if greater, For Reissues, see above										
SUBMITTED BY Complete (if applicable)										

Registration No.

(Attorney/Agent)

37,097

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Date

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November 6